

COMPANY (Namibian & Foreign)

Account nr (investment / policy): _____

Role (e.g. client / connected entity / payer): _____

A. INFORMATION REQUIRED:

1. Registered name of company:	
2. Trading name in country of registration:	
3. Trading name in country of operation (if different from above):	
4. Country of registration	5. Country of operation:
6. Date of registration:	7. Registration number:
8. Registered address - street number, street name, town/city, country:	
9. Operating address - street number, street name, town/city, country:	
10. Purpose of business relationship with Sanlam Allianz:	
11. Business activities:	
12. Income Tax Registration nr:	13. Value Added Tax Registration nr (if any):
14. Source of income:	
15. Source of funds:	
16. Source of wealth:	
17. Other investments or policies held at Sanlam Allianz:	18. Net amount of monthly income N\$:
19. Postal address:	
20. Telephone:	21. Email address:

B. VERIFICATION DOCUMENTS REQUIRED:

- 1 Certificate of Incorporation (CM1 / CM3) or
- Certificate of Name Change (CM9) [if applicable].
- 2 Certificate to Commence Business (CM46).
- 3 Memorandum of Association (CM2 / CM4).
- 4 Articles of Association (CM 44).
- 5 Income Tax Registration Certificate.
- 6 VAT Registration Certificate (if any).
- 7 Any document indicating the operating address;
- 8 Register of Directors, Auditors, Officers (CM29) / Company Status Report / List of directors as confirmed by company secretary or auditors/accountants.
- 9 Register of Shareholders / Company Status Report / List of shareholders (including shareholding % & voting rights) as confirmed by company secretary or auditors/accountants.
- 10 Resolution signed by the board of directors / Extract of minutes of board of directors' meeting, providing authority to the representative(s).

C. FOR EACH CONNECTED PARTY (If an individual, see FIA Form 1 for information & documents required. If an entity, see applicable FIA Form 6 – 10 for information & documents required):

- 1 Representative of the company.
- 2 Majority shareholder (including nominee shareholder) of the company.
- 3 Chief Executive Officer (managing director) of the company.
- 4 Director (including nominee director) of the company.
- 5 Executive Manager of the company.
- 6 Beneficial Owner of (individual who ultimately & effectively owns/controls) the company.

Declaration:

I, _____ (full names and surnames): hereby confirm that the above information is true and correct.

_____ Signature

_____ Date

or declaration by intermediary (Broker / Person contracted by Sanlam Allianz / Sanlam Allianz employee):

I, _____ (names and surnames) with ID nr: _____ and with Sanlam Allianz code: _____, hereby confirm that I have established the identity of this entity as required by the FIA. I have seen the original (or certified copies of the original) verification documents.

_____ Signature

_____ Date

*Nominee shareholder: Means an individual/entity who holds shares on behalf of another person (e.g. ultimate beneficial owner).

*Nominee director: Means an individual who represents the interests of another person (e.g. ultimate beneficial owner) and acts in accordance with the instructions received by this other person.

*Source of Wealth: How was most assets acquired? For example, it could have been from: Business income, investment income etc.

*Beneficial Owner" - see Schedule 5: Persons regarded as Beneficial Owners (Financial Intelligence Act as amended).